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FOR

**XML-BASED NETWORK MANAGEMENT SYSTEM
AND METHOD FOR CONFIGURATION MANAGEMENT
OF HETEROGENEOUS NETWORK DEVICES**

Inventors:
Byung Joon LEE
Tae Sang CHOI
Tae Soo JEONG

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

**XML-BASED NETWORK MANAGEMENT SYSTEM AND METHOD
FOR CONFIGURATION MANAGEMENT OF
HETEROGENEOUS NETWORK DEVICES**

5 This application claims priority from Korean Patent Application No. 02-78703, filed on December 11, 2002, the contents of which are incorporated herein by reference in their entirety.

BACKGROUND OF THE INVENTION

10 1. **Field of the Invention**

 The present invention relates to a network management system and method, and more particularly, to an extensible markup language (XML)-based network management system and method in which maintenance of the network management system can be improved.

15 2. **Description of the Related Art**

 There are a variety of methods of transmitting configuration commands to a network device, including Simple Network Management Protocol (SNMP), and a Common Object Policy Service (COPS) protocol. The SNM protocol has an advantage in that it is relatively simple and easy to grasp. However, when it comes to the transmission of network-related policy information, which has been increasingly complicated of late, to network devices, the SNM protocol is too simple and has poor security-related functions. The COPS protocol has been proposed by the Internet Engineering Task Force (IETF) in order to solve this problem. The COPS protocol has an object-oriented message structure with a well-defined shape. However, as of yet many devices have supported the SNM protocol instead of the COPS protocol.

 Meanwhile, almost all devices support a command line interface (CLI), which is a TELNET-based manual interface for device management, and the CLI most quickly reflects the latest standards. Accordingly, most of network management systems use a non-standardized substitute measure in which a device is managed by accessing the device using the TELNET protocol, and policy information is converted into a series of CLI commands and then

transmitted to the device. However, since these CLI-based network management systems use a method by which policy information is converted into CLI commands using a program, these systems have a disadvantage in that the program of the network management software directly depends on the
5 CLI syntax. Accordingly, when the operating system of a device is upgraded to the latest version, in order to reflect the changes in the CLI syntax the network management system should be changed. Therefore, a new method by which these problems can be solved and the improvement of the maintenance of a CLI-based network management system is needed.

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SUMMARY OF THE INVENTION

The present invention provides a network management system and method, in which combining an XML template stored in a memory with an argument sent to the XML template to generate materialized CLI commands
15 makes it so that the program of network management software does not depend on the CLI syntax and the maintenance of the CLI-based network management system is improved.

The present invention also provides a computer readable recording medium having embodied thereon a computer program for executing the
20 method in a computer.

According to an aspect of the present invention, there is provided a network management system comprising an extensible markup language (XML) template in which the form of a command line interface (CLI) command supported by a network device is expressed in XML; and a network
25 management interface which converts the XML template into a tree-shaped internal data structure, and by providing a predetermined argument to the converted XML template, converts the XML template into a set of CLI commands that are to be transmitted to the network device.

According to another aspect of the present invention, there is provided
30 a network management method comprising (a) forming an extensible markup language (XML) template in which the form of a command line interface (CLI) command supported by a network device is expressed in XML; and (b)

converting the XML template into a tree-shaped internal data structure, and by providing a predetermined argument to the converted XML template, converting the XML template into a set of CLI commands that are to be transmitted to the network device.

5 In the method, step (b) may comprise (b-1) converting the XML template into the tree-shaped internal data structure; (b-2) providing a predetermined argument to the converted XML template and converting the XML template into the set of CLI commands; (b-3) transmitting the converted CLI commands to the network device; and (b-4) determining whether the
10 transmitted CLI commands are successfully executed and collecting additional information.

BRIEF DESCRIPTION OF THE DRAWINGS

15 The above objects and advantages of the present invention will become more apparent by describing in detail preferred embodiments thereof with reference to the attached drawings in which:

FIG. 1 is a block diagram of a network management system according to a preferred embodiment of the present invention;

20 FIG. 2 is a diagram showing a detailed structure of a network management interface shown in FIG. 1;

FIG. 3 is a diagram showing the syntax structure of the XML template shown in FIG. 1;

FIG. 4 is a diagram showing an example of a CLI command and an example of an XML template expressing the CLI command;

25 FIG. 5 is a diagram for explaining a method for materializing CLI commands according to the present invention;

FIG. 6 is a flowchart for showing a process in which materialized CLI commands are executed according to a network management interface method of the present invention; and

30 FIG. 7 is a diagram for showing a process in which each element of a network management system according to the present invention is called when CLI commands are materialized and the materialized CLI commands are

executed.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1 is a block diagram of a network management system 100 according to a preferred embodiment of the present invention and shows a method of configuring the network management system 100 by using a network management interface 120, and the relationship between the network management system 100 and a network.

Referring to FIG. 1, the network management system 100 loads an XML template 110 on a memory, using an X-CLI interface as a network management interface 120. In the XML template 110, the CLI commands supported by a network device are expressed in XML, and the relationship between CLI commands needed in performing predetermined functions in the device are specified. This template 110 is converted into a tree-shaped internal data structure and is then managed internally in the network management interface 120. If the network management system 100 transfers a corresponding argument to the converted XML template 130 via the network management interface 120, the template 130 is converted into a set of CLI commands that can be transmitted to the network device 160. The converted set of CLI commands are referred to as materialized CLI commands 140. Thus, if a process, in which a part depending on the CLI syntax is separated in the form of a file that is the XML template 110 and then converted into the actual CLI commands, is automated, network management software can be made to be independent of the CLI syntax.

The materialized CLI commands 140 call the network management interface 120 and are then transmitted to the network device 160. The network management system 100 and the network device 160 communicate with each other through a TELNET connection 170 established by the network management interface 120. In addition to TELNET, any protocols that provide a virtual terminal function to the network device 160, for example, secure shell (SSH), can be used for means of the communication.

FIG. 2 is a diagram showing a detailed structure of a network

management interface shown in FIG. 1. Referring to FIG. 2, the network management interface 120 comprises an XML parser 121, a materializer 122, a connection manager 123, and a result processor 124.

The XML parser 121 loads the XML template 110 into a memory and
5 converts the XML template 110 into a tree-shaped internal data structure 130. The materializer 122 transfers an argument to the converted XML template 130 so that the converted XML template 130 is converted into CLI commands, that is, the converted XML template 130 is materialized. The connection manager 123 transmits the materialized CLI commands 140 to the network device 160.
10 Then, the result processor 124 determines whether the CLI commands 140 are successfully executed by parsing the execution result of the transmitted CLI commands 140, or collects additional information that can be obtained from the execution results.

FIG. 3 is a diagram showing the syntax structure of the XML template 110 shown in FIG. 1. The XML template 110 according to the present invention is specified by using a document type declaration (DTD), which is one of the XML standards. DTD is used to show the list of tags forming an XML document and to list the attributes of respective tags. DTD shown in FIG. 3 specifies the syntax of the XML template 110 as an ELEMENT tag, an ATTLIST tag, a CDATA tag, and a #IMPLIED tag.
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First, the ELEMENT tag indicates that a <cli> tag may appear in the XML document, and the <cli> tag may or may not include subordinate <cli> tags or character string data.

The ATTLIST tag is used to specify the attributes of the <cli> tag. The attributes of the <cli> tag which are specified by the ATTLIST tag include tag, prompt1, prompt2, command, errorstr, always, ainput, and ainputresponse.
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The CDATA tag indicates that the corresponding attributes have character string values. The Attributes which are specified as #IMPLIED can be omitted. When a predetermined value is specified instead of #IMPLIED as the always tag in FIG. 3, the predetermined value is used as a default value. That is, if a corresponding attribute value is not specified when the <cli> tag is used, 30 it is assumed that the corresponding default value is given. Each attribute of

the <cli> tag will now be explained individually.

The 'tag' is used as an identifier for identifying the <cli> tag in a document. The 'tag' is used to identify a predetermined tag in order to send an argument when a plurality of <cli> tags exist at the same time. The 'command' 5 is an attribute for indicating an actual CLI command. The 'prompt1' is an attribute which is used to display the corresponding prompt character string on a virtual terminal 170 of the network device 160 in order to transmit a CLI command specified as a command attribute value. The 'prompt2' is used to specify whether a predetermined prompt should be displayed on the virtual 10 terminal 170 of the network device 160 after a CLI command is transmitted and executed. The 'errorstr' is used to specify which character string can be displayed on the virtual terminal 170 of the network device 160 when the result of executing a CLI command is a failure. Using this attribute value, the success or failure of executing an individual CLI command is determined. The 15 'always' is used to determine whether a current CLI command will be executed when an arbitrary CLI command executed previously is a failure. For example, if the value of the 'always' attribute is 'true', the corresponding CLI command is executed regardless of whether the result of execution of a CLI commands that was previously executed was a success or a failure. If the value is 'false', the 20 corresponding CLI command is executed only when CLI commands that were executed previously were all successfully executed. This 'always' attribute has the 'false' value as the basic value.

A <cli> tag in which the attributes are all omitted is referred to as a pure aggregation tag and is referred to as PAT, an abbreviation. PAT indicates that 25 subordinate <cli> tags included in the tag may be materialized more than once. In other words, PAT indicates that if arguments are continuously given, the tags may be materialized multiple times.

FIG. 4 is a diagram showing an example of a CLI command 112 and an example 113 of an XML template 110 expressing the CLI command. The CLI 30 command 112 shown in FIG. 4 is an example of a command for setting a boarder gateway protocol (BGP)-related function in a Provider Edge (PE) router of Cisco Systems, Inc. This example clearly shows how CLI commands are

related hierarchically.

For example, a command 'address-family ipv4 vrf VRF-SEOUL' cannot be input unless a higher level command 'router bgp 55555' is executed. This type of hierarchical relationship is referred to as hierarchical dependency. In 5 addition, if a command fails during its execution, CLI commands after said command cannot be input. Accordingly, whether a subsequent command is executed is dependent on the result of execution of a preceding command. This type of relationship is referred to as result dependency. Also, the part '55555' in the command 'router bgp 55555' can be regarded as an argument for 10 the router bgp command. In this case, if this argument is omitted, the router bgp command cannot be executed and a dependency between the argument and execution of the command occurs. This type of dependency is referred to as argument dependency.

Therefore, in the present invention, by using the syntax of the XML template 110 shown in FIG. 3, the contents of the hierarchical dependency, argument dependency, and result dependency of these CLI commands are described in the XML form. If the CLI command 112 shown in FIG. 4 is expressed by the XML template based on the syntax of the XML template 110 shown in FIG. 3, it is specified as shown in the example 113.

20 The hierarchical dependency of a CLI command is described by the inclusion relationship between <cli> tags. For example, a CLI command included in an arbitrary CLI command cannot be materialized if the arbitrary CLI command containing the CLI command is not materialized, and, even though the CLI command is materialized, it cannot be executed if the arbitrary CLI 25 command containing the CLI command is not successfully executed. Considering these facts, it can be said that materialization is equivalent to the concept of 'compile' in an ordinary programming language, and execution of materialization is equivalent to 'program execution' in an ordinary programming language. A process of materialization will be explained in detail referring to 30 FIG. 5.

The argument dependency of a CLI command is expressed by a form argument name which begins with \$ that is included in a command attribute

value. This form argument name is replaced later by an argument sent to a loaded XML template 130 after the XML template 110 is loaded onto a memory by an X-CLI application programming interface (API). If the argument is not properly sent, the corresponding CLI command cannot be materialized.

5 The result dependency of a CLI command is expressed by 'errorstr' attribute and an 'always' attribute of a <cli> tag. If the execution of a materialized CLI command that was previously executed is a failure (for example, if the execution result includes a value specified in the 'errorstr' attribute, the result is regarded as a failure), only CLI commands in which the 'always' attribute values are 'true' are executed after the failed command.

10 Details of result dependency will be explained referring to FIG. 6.

15 FIG. 5 is a diagram for explaining a method of materializing CLI commands according to the present invention. In the process of materializing a CLI command according to the present invention, the materializer 122 of the network management interface 120 shown in FIG. 2 combines the XML template 130 stored as a tree-shaped internal data structure in the memory, with an argument provided to the template 130 such that a sequence 140 of materialized CLI commands is generated. A process of obtaining the sequence 140 of materialized CLI commands will now be explained.

20 First, an XML template, which is the object of materialization, is provided in the form of a tree of CLI commands, and a queue 142 of arguments is provided. If a <cli> tag corresponding to a root node of a tree that is to be materialized is not a PAT, it is determined whether the <cli> tag needs an argument. If the <cli> tag needs an argument, by searching the queue 142 of arguments, it is determined whether the needed argument is in the queue 142. If the needed argument is in the queue 142, materialization is preformed by applying the argument to the <cli> tag. If materialization of the root node is completed through this process, this materialization process is recursively applied to all children nodes of the root node.

25 30 After the application of materialization process is successfully completed, if the root node is a PAT, there is the possibility for children nodes to be materialized again, and therefore, the process is again performed from the

location of 'restart:'. The result of applying this materialization process to 113 of FIG. 4 is the same as 143 of FIG. 5.

As described above, the method of materializing CLI commands according to the present invention converts the tree-shaped hierarchical 5 relationship among the CLI commands formed by the XML template 110, into the execution trace of continuous CLI commands. As described above, however, if a CLI command fails in the middle of execution, those commands which belong to lower layers in the hierarchy should not be executed. Accordingly, in the present invention, a branch location for a failure case is 10 automatically generated and managed as an additional attribute for each CLI command. This branch location for a failure becomes a next sibling node sharing a parent node. The sequence 140 of materialized CLI commands in FIG. 5 shows how the branch locations are generated. The execution order of the materialized CLI commands 140 will now be explained.

15 FIG. 6 is a flowchart for showing a process in which materialized CLI commands are executed according to a network management interface method of the present invention, and shows a process in which actual materialized CLI commands 140 are transmitted one by one to the network device 160 by the connection manager 123 and then executed.

20 Referring to FIG. 6, first, the connection manager 123 sets a 'failed' variable value to 'false' and allocates the address value of a first command among the actual materialized CLI commands, to variable i in step 1201. Then, it is determined whether or not i is 0 in step 1202.

25 If the determined result of step 1202 indicates that i is 0, execution of CLI commands is stopped. If i is not 0, while i is not 0 (that is, while i indicates an effective command), the steps in the following loop are performed. In order to perform the steps in the following loop, first, it is initially determined whether or not the 'failed' variable value that is set to 'false' in step 1201 stays at 'false' without change in step 1203.

30 If the determined result of the step 1203 indicates that the 'failed' variable value set in the step 1201 stays at 'false', the connection manager 123 waits till a prompt character string specified as a 'prompt1' attribute value is

transmitted from the network device 160 in step 1204. Then, if the prompt character string is transmitted, the CLI command 140 is transmitted, and the execution result of the CLI command 140 is collected in step 1205. Then, it is determined whether the network device 160 requests an additional input (that is, 5 whether an 'ainput' attribute value is transmitted from the network device 160) in step 1206.

If the determined result of step 1206 indicates that the network device 160 requests an additional input, a character string specified as a 'ainputresponse' attribute value as an additional input is transmitted to the 10 network device 160 in step 1207. If the determined result of step 1206 indicates that the network device 160 does not request an additional input, it is determined whether an error occurred in the execution of the CLI command (that is, whether or not an 'errorstr' attribute value is transmitted from the network device 160) in step 1208.

15 If the determined result of step 1208 indicates that an error occurred in the execution of the CLI command, the 'failed' variable value is set to 'true'. If the determined result of step 1208 indicates that no error occurred in the execution, the state of the 'failed' variable and the branch location for a failure of execution (BTEF) of the CLI command 140 are checked in step 1210.

20 If the result of checking the state of the 'failed' variable and the BTEF of the CLI command 140 in the step 1210 indicates that the 'failed' variable value is 'true' and the branch location for a failure of executing the CLI command 140 is 0, the BTEF of CLI command 140 is stored in i and step 1202 is performed again in step 1211. If the result of checking in the step 1210 indicates that the 25 'failed' variable value is 'true' and the BTEF of CLI command 140 is not 0, the address value (NEXT) of a CLI command to be executed next is stored in i and the step 1202 is performed again in step 1212.

30 FIG. 7 is a diagram for showing a process in which each element of the network management system 100 according to the present invention is called when CLI commands are materialized and the materialized CLI commands are executed.

Referring to FIG. 7, operations performed in the network management

system 100 according to the present invention can be divided into 3 processes, including a process for requesting to load the XML template 110 via the network management interface 120, a process for transmitting an argument to the XML template 110, and a process for transmitting materialized CLI commands 140.

5 A process for materializing the XML template 110 to CLI commands 140 is performed internally by the materializer 122 in the network management interface 120 before actual transmission is performed. The procedure by which each element of the network management system 100 is called when the elements of the network management system 100 perform materialization of a
10 CLI command and execute the materialized CLI commands will now be explained.

15 First, if a request to load the XML template 110 is generated from the network management system 100, the load request is transferred to the XML parser 121 via the network management interface 120. The XML parser 121 converts the XML template 110 into a tree-shaped internal data structure 130, loads the converted XML template 110 onto the memory, and informs the network management system 100 via the network management interface 120 that the XML template 100 is loaded onto the memory.

20 The network management system 100 sends an argument to the XML template 110 via the network management interface 120. The network management interface 120 stores the argument in a queue and sends it to the materializer 122. The materializer 122 performs a role for converting (that is, materializing) the XML template 130 into CLI commands 140 by sending the argument to the converted XML template 130. At this time, if the network
25 management system 100 requests transmission of the materialized CLI commands 140 via the network management interface 120, the materializer 122 requests the connection manager 123 to transmit the CLI commands 140 after completing the materialization.

30 In response to the transmission request input from the materializer 122, the connection manager 123 transmits the materialized CLI commands 140 to the network device 160. The network device 160 transmits transmitted result of the CLI commands to the result processor 124. The result processor 124 in

response to the transmitted result analyzes whether execution of the CLI commands 140 is successful, and then sends the analyzed result to the connection manager 123.

5 In response to the analyzed result input from the result processor 124, the connection manager 123 sends the executed results of the CLI commands to the network management interface 120, and when an error occurred, reports the contents of the error.

10 According to a series of network management operations of the present invention, instead of converting policy information into CLI commands on a program, an XML template that can adequately express the hierarchical structure of the CLI commands is formed and used to generate actual CLI commands such that network management software is independent of the CLI syntax. Accordingly, even when an operating system of a device is upgraded, the network management system does not need to be changed, and in addition 15 the maintenance of the network management system improves. Therefore, even for heterogeneous network devices using the SNM protocol or COPS protocol, the CLI-based network management system can be easily implemented and managed.

20 The present invention may be embodied in a code, which can be read by a computer, on a computer readable recording medium. The computer readable recording medium includes all kinds of recording apparatuses on which computer readable data is stored. The computer readable recording media includes storage media such as magnetic storage media (e.g., ROM's, floppy disks, hard disks, etc.), optically readable media (e.g., CD-ROMs, DVDs, 25 etc.) and carrier waves (e.g., transmissions over the Internet). Also, the computer readable recording media can be scattered on computer systems connected through a network and can store and execute a computer readable code in a distributed mode.

30 According to the XML-based network management system and method of the present invention as described above, by combining the XML template stored in the memory and the argument provided to the XML template, the materialized CLI commands are generated and used to send policy information

needed to the network device such that maintenance of the network management system improves. Accordingly, by reducing the maintenance problems and errors that can occur when managing network management software, the network device can be managed.